

03/18/02 23-59244 13122-B 105659.doc

PATENT  
Attorney Reference No. 23-59244

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

James E. Amonette et al.

Application No. 10/002,602

Filed: November 13, 2001

For: PHOTOACOUSTIC SPECTROSCOPY  
SAMPLE ARRAY VESSELS AND  
PHOTOACOUSTIC SPECTROSCOPY  
METHODS FOR USING THE SAME

Art Unit: 1743

Examiner: --

Date: March 18, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper or fee referred to as being attached or enclosed) is being deposited with the United States Postal Service on 3/18/2002 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, Washington, DC 20231.

Attorney for Applicant(s)

REQUEST FOR CORRECTED FILING RECEIPT

COMMISSIONER FOR PATENTS  
Washington, DC 20231

Applicants have received the official Filing Receipt for the application referenced above, a copy of which (with requested correction shown in red ink) is attached as Exhibit A.

The following error(s) appears on the Filing Receipt:

**ERROR**  
**spectroscopy:**

**CORRECT INFORMATION**  
**spectroscopy**

Applicants request that the identified error(s) be corrected and that a new official Filing Receipt be issued.

Please call the undersigned if any further information is required.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Lisa M. Caldwell  
Registration No. 41,653

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/002,602	11/13/2001	1743	0.00	23-59244	8	63	18

CONFIRMATION NO. 2928

## FILING RECEIPT



\*OC000000007331710\*

KLARON ST SPARKMAN, LLP  
1000 World Trade Center  
Suite 1600  
121 S.W. Salmon Street  
Portland, OR 97204

Date Mailed: 01/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

James E. Amonette, Richland, WA;  
S. Thomas Autrey, West Richland, WA;  
Nancy S. Foster-Mills, Richland, WA;

## Assignment For Published Patent Application

Battelle Memorial Institute;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 01/18/2002

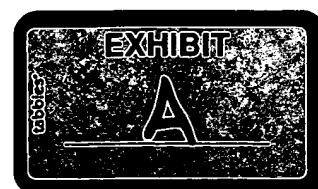
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



Photoacoustic spectroscopy sample array vessels and photoacoustic spectroscopy methods for using the same

*Spectroscopy*

**Preliminary Class**

436

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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#3



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,602	11/13/2001	James E. Amonette	23-59244

CONFIRMATION NO. 2928

## FORMALITIES LETTER



\*OC000000007331711\*

KLARQUIST SPARKMAN, LLP  
One World Trade Center  
Suite 1600  
121 S.W. Salmon Street  
Portland, OR 97204

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$1017.
  - \$387 for 43 total claims over 20.
  - \$630 for 15 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1452.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/26/2002 NR000015 10002602  
01 FC:201 370.00 OP  
02 FC:202 630.00 OP  
03 FC:203 387.00 OP  
04 FC:205 65.00 OP



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Art Unit: 1743

James E. Amonette et al.

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 METHODS FOR USING THE SAME

Examiner: Not yet assigned

Date: March 18, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on March 18, 2002, as First Class Mail in an envelope addressed to:  
 BOX MISSING PARTS, COMMISSIONER FOR PATENTS,  
 WASHINGTON D.C. 20231.

Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

BOX MISSING PARTS  
 COMMISSIONER FOR PATENTS  
 Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Parts of Application--Filing Date Granted
- ☒ Basic Filing Fee of \$370.00
- ☒ Additional claim fee of \$1,017.00
- ☒ Combined Declaration and Power of Attorney
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration
  - ☒ \$65.00 - Small Entity
- ☒ Assignment and Recordal Fee of \$40.00
- ☒ Information Disclosure Statement
  - ☒ Form 1449 and references cited thereon
- ☒ Request for Corrected Filing Receipt
- ☒ A check in the amount of \$1,492.00 to cover the above-listed fees
- ☒ Small entity status is claimed for this application.
- ☒ The Director is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

  
Lisa M. Caldwell

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cc: Docketing